

**Direct Catalytic Asymmetric Mannich Reaction of Unmodified Ketones:
Cooperative Catalysis of an AlLibis(binaphthoxide) Complex and
La(OTf)₃·nH₂O[†]**

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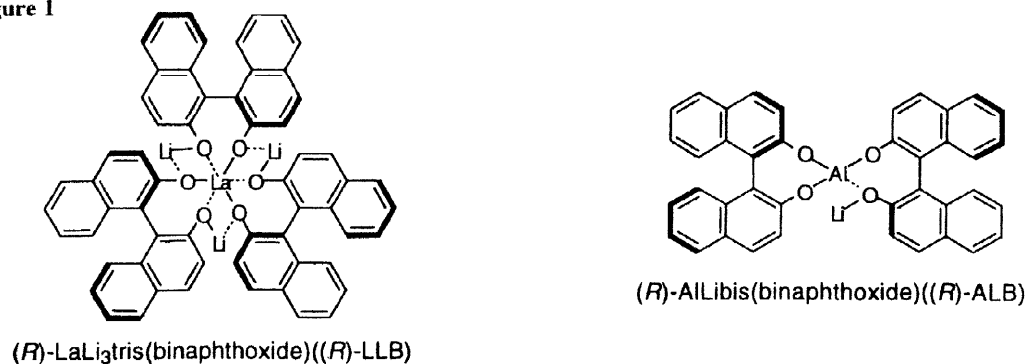
Abstract: The direct catalytic asymmetric Mannich reaction of unmodified ketones is described. This was achieved by the cooperative catalysis of a heterobimetallic asymmetric complex: AlLibis(binaphthoxide) (ALB) and La(OTf)₃·nH₂O in the presence of molecular sieves 3A. The association of ALB and La(OTf)₃·nH₂O was indicated by means of the Laser Desorption/Ionization Time-of-Flight Mass (LDI-TOF MS) spectrum. © 1999 Elsevier Science Ltd. All rights reserved.

The aminoalkylation of CH-acidic compounds such as enolizable ketones, so-called Mannich reaction, is one of the most powerful C–C bond forming reactions in organic chemistry. It is an invaluable method for the preparation of β-aminocarbonyl compounds (Mannich bases),¹ which are useful intermediates for the synthesis of numerous natural products. The Mannich reaction is also widely used in the field of medicinal chemistry.² The majority of Mannich bases are prepared in racemic form by a classical Mannich reaction, in which a CH-acidic compound, formaldehyde and an secondary amine hydrochloride are heated in a protic solvent. The synthesis of optically pure pharmaceuticals is undoubtedly important to obtain better products in terms of therapeutic value and safety. Although various methods for the asymmetric synthesis of Mannich bases have been developed, enantioselective modes of the Mannich reaction itself, using achiral substrates are quite scarce even as non-catalytic methods.³ The development of catalytic asymmetric Mannich-type reactions is thus a challenging theme in organic chemistry. In the past two years, four research groups have realized such catalytic asymmetric Mannich-type reactions: Mannich bases have been obtained by the addition of metal enolates,⁴ the addition of ketene silyl acetals,⁵ and the addition of enol silyl ethers^{6,7} to imines, which are prepared by the treatment of aldehydes with amines. In all of these Mannich-type reactions, however, pre-conversion of the ketone or the ester moiety to a more reactive species as mentioned above is an unavoidable necessity. In addition, the aminomethylation, which is the classical Mannich reaction, appears to be difficult as such an imine

[†] This paper is dedicated to Professor David A. Evans and Professor Teruaki Mukaiyama on the occasion of their awarding of the 1998 Tetrahedron Prize.

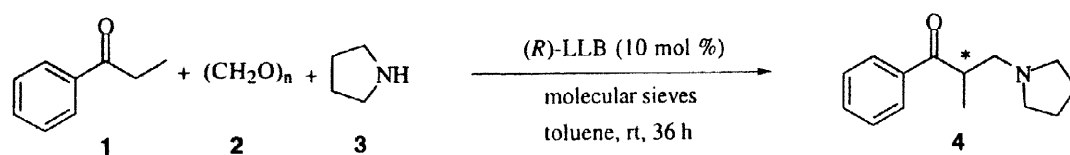
is hardly prepared from formaldehyde. In order to achieve *direct* catalytic asymmetric Mannich reactions of *unmodified* ketones, it would be necessary to discover an efficient catalysis, which overcomes the low reactivity of *unmodified* ketones and also controls overreaction of product ketones. As a preliminary solution to these problems, we present herein a detailed account of the development of the first direct catalytic asymmetric Mannich reaction: an aminomethylation of unmodified ketones utilizing the cooperative catalysis of a ALLibis((*R*)-binaphthoxide) complex ((*R*)-ALB) and $\text{La}(\text{OTf})_3 \cdot n\text{H}_2\text{O}$. A preliminary report of this work has been published.⁸

Figure 1



We have developed several heterobimetallic asymmetric catalysts containing both Lewis acidity and Brønsted basicity.⁹ Among them, a $\text{LaLi}_3\text{tris}(\text{binaphthoxide})$ complex (LLB) (Figure 1) allowed us to use unmodified ketones for a direct catalytic asymmetric aldol reaction with aldehydes, affording various aldols with up to 94% ee.¹⁰ The LLB complex turned out to be quite stable in the presence of a small amount of H_2O in organic solvents. Indeed, the monohydrated LLB efficiently catalyzed asymmetric nitroaldol reactions.¹¹ We thus envisaged that the LLB catalysis would also be applicable to a direct asymmetric Mannich reaction such as the reaction of propiophenone (**1**) with paraformaldehyde (**2**: $(\text{CH}_2\text{O})_n$) and pyrrolidine (**3**), in which H_2O is unavoidably generated as a side product. The racemic form of Mannich base **4** is known as a compound with pharmacological activity (centrally acting muscle relaxant).¹² Indeed, the Mannich reaction proceeded in the presence of 10 mol % of LLB (rt, toluene), although the yield of **4** was only 5% and its enantiomeric excess (ee)

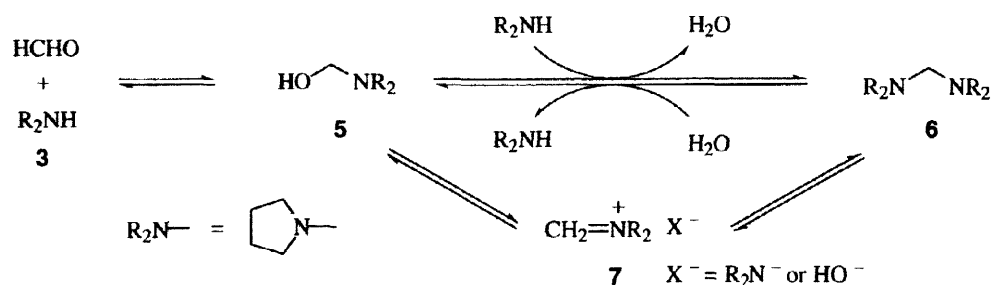
Table 1. The direct asymmetric Mannich reaction in the presence of several types of molecular sieves



entry	molecular sieves	yield (%)	ee (%)
1	—	5	6
2	MS 3A	16	64
3	MS 4A	6	58
4	MS 5A	5	34
5	MS 13X	4	16

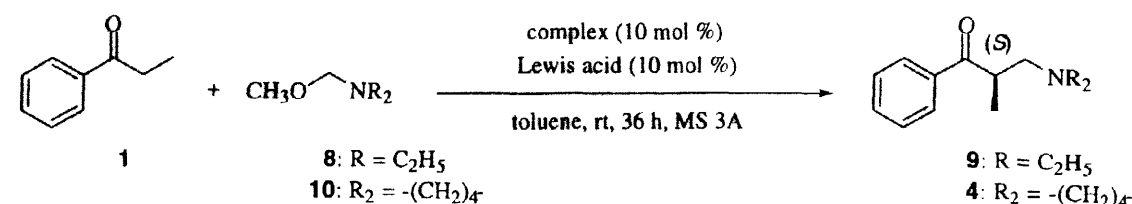
was only 6% (entry 1, Table 1). Under these conditions, a decomposition of LLB might occur due to the existence of too much H₂O being a contaminant of **2** and the reaction side product. Thus, the addition of several types of molecular sieves (MS) as dehydrating agent was examined (entries 2–5). The presence of MS 3A among them, drastically improved the ee of **4** (64% ee),¹³ albeit in only 16% yield. The reason for the low yield is as follows: In general, formaldehyde (HCHO) and pyrrolidine (**3**) should be in equilibrium with a hemiaminal **5**, an iminium salt **7**, and an aminal **6** as shown in Scheme 1. The aminal **6** is likely to be generated by the dehydration of **5** assisted by the action of MS 3A in toluene and it seemed to be inactive under these conditions. Since the reaction of preformed **6** with **1**, in the presence of LLB and MS 3A, did not proceed at all, **6** and **7** should not be in equilibrium under these conditions. When the transformation into **6** was complete, further addition of paraformaldehyde (**2**) and **3** allowed further formation of **4**, although the resultant ee of **4** was lower. This is probably due to the existence of H₂O, more than MS 3A (1.11 g for 0.74 mmol of **1**) can trap in toluene (3.5 mL). Unfortunately, after further addition of MS 3A the stirring of the reaction mixture by a magnetic stirrer became problematic.

Scheme 1. Equilibrium between formaldehyde-pyrrolidine (**3**) and aminal **6**



We next focused on the use of isolable aminomethyl ethers such as **8**,¹⁴ which would be an useful equivalent for [R₂N=CH₂]⁺ in the presence of Lewis acids, instead of formaldehyde and secondary amines as the reactants.¹ If the heterobimetallic complex, LLB has enough Lewis acidity for the activation of the aminomethyl ether **8**, the reaction of **1** with **8** is likely to proceed. Unfortunately, the reaction (rt, toluene) using LLB (10 mol %) gave (*S*)-**9**¹⁵ in only 12% yield, although modest ee (25%) was found (entry 1, Table 2). To improve the reactivity, a YbLi₃tris(binaphthoxide) complex (YbLB), which has a more strong Lewis acidic center metal compared to LLB, was examined. The reaction using (*R*)-YbLB (10 mol %), however, afforded (*S*)-**9** in only 14% yield and only 15% ee. As the Lewis acidity of LLB and YbLB appeared to be insufficient for the activation of **8**, the effects of additional achiral Lewis acids were examined. The addition of 10 mol % of rare earth metal triflates: Ln(OTf)₃·*n*H₂O (Ln = La, Yb), among various Lewis acids, produced slight improvement of the yield (18% and 23%, respectively), although the ee of **9** drastically decreased (9% ee and 0% ee, respectively) (entries 2 and 3), as the reactions were probably catalyzed by the achiral Lewis acid without participation of the chiral LLB moiety. An association of Ln(OTf)₃·*n*H₂O with LLB appears to be difficult because of the steric hindrance of LLB, which has three binaphthyl moieties.

In order to find an alternative, we then paid our attention to the use of another type of heterobimetallic asymmetric complex: an AlLi bis(binaphthoxide) complex (ALB),¹⁶ which has only two binaphthyl moieties (Figure 1). The ALB complex also functions as both a Lewis acid and Brønsted base to be an efficient catalyst

Table 2. Direct catalytic Mannich reaction of propiophenone (**1**) with aminomethyl ethers

entry	complex	Lewis acid	aminomethyl ether	yield (%)	ee (%)
1	(<i>R</i>)-LLB	–	8	12	25
2	(<i>R</i>)-LLB	La(OTf) ₃ ·nH ₂ O	8	18	9
3	(<i>R</i>)-LLB	Yb(OTf) ₃ ·nH ₂ O	8	23	0
4	(<i>R</i>)-ALB	–	8	6	16
5	(<i>R</i>)-ALB	Sc(OTf) ₃ ·nH ₂ O	8	66	2
6	(<i>R</i>)-ALB	Yb(OTf) ₃ ·nH ₂ O	8	55	10
7	(<i>R</i>)-ALB	La(OTf) ₃ ·nH ₂ O	8	53	30
8	(<i>R</i>)-ALB	La(OTf) ₃ ·nH ₂ O	10	11	2
9	–	La(OTf) ₃ ·nH ₂ O	8	35	–
10	(<i>R</i>)-ALB	anhyd. La(OTf) ₃	8	18	7

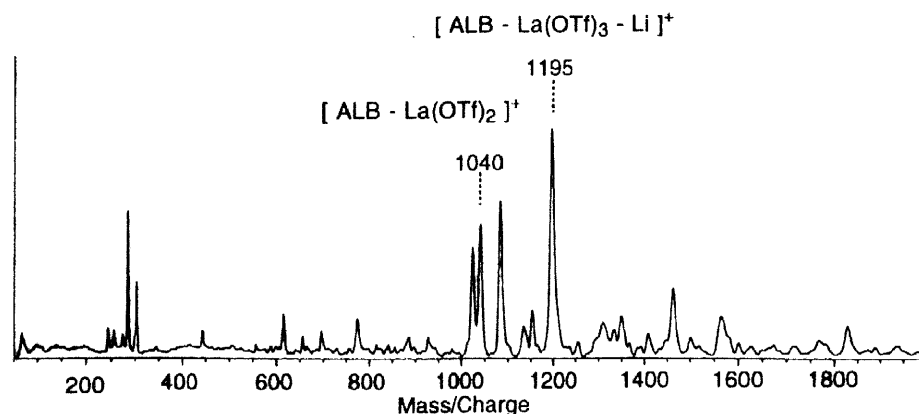
for several asymmetric syntheses,¹⁷ although it was not efficient for the direct catalytic asymmetric aldol reaction of unmodified ketones. The ALB complex may provide more space than the LLB complex, enabling an association with Ln(OTf)₃·nH₂O in the asymmetric environment. Thus the reaction of **1** with **8** (1.0 equiv) was examined in the presence of 10 mol % of (*R*)-ALB and MS 3A at rt in toluene. Although ALB (10 mol %) itself showed low activity (6% yield, 16% ee) similar to LLB (entry 4, Table 2), we were pleased to find that the combination with Ln(OTf)₃·nH₂O (10 mol %) was extremely effective in increasing the yield of **9**: Sc(OTf)₃·nH₂O (66% yield), Yb(OTf)₃·nH₂O (55% yield), La(OTf)₃·nH₂O (53% yield) (entries 5–7). Under similar conditions, the reaction of **1** with **10** instead of **8**, gave **11** in only 11% yield (2% ee) (entry 8), probably due to the deactivation of La(OTf)₃·nH₂O by a relatively tight coordination by the nitrogen atom of the pyrrolidine ring. The addition of La(OTf)₃·nH₂O was also beneficial, with respect to the asymmetric induction, resulting in the formation of (*S*)-**9** with 30% ee compared to 16% ee using only ALB. It is notable that the combination enhanced the enantioselectivity as well as the yield of **9** (53% yield), because the reaction using only La(OTf)₃·nH₂O (10 mol %) afforded **9** in only 35% yield (entry 9). It is sure that the role of the aluminum complex (ALB) itself is important in terms of the asymmetric induction, based on the following results. The combination of La(OTf)₃·nH₂O with (*R*)-binaphthol or its dilithium salt, instead of (*R*)-ALB, afforded (*S*)-**9** in only 13% yield or 15% yield, respectively, with much lower ee values. These results suggest that the ALB complex and La(OTf)₃·nH₂O associate with each other to catalyze the direct asymmetric Mannich reaction cooperatively.

The use of anhydrous La(OTf)₃ instead of La(OTf)₃·nH₂O was also examined, but the reaction gave less satisfactory results (18% yield, 7% ee) (entry 10, Table 2), presumably due to the polymeric structure and the low solubility of anhydrous La(OTf)₃. However, it is known that the ALB complex is very sensitive to small amounts of H₂O, and so it is interesting that the ALB complex could be used together with La(OTf)₃·nH₂O without the decomposition of ALB. This is probably due to the strong coordination of H₂O to La(OTf)₃ and the

absence of free H₂O. The addition of MS 3A, however, was still required for the Mannich reaction of **1** with **8**, in which H₂O is not generated as a reaction side product. Interestingly, the reaction of **1** with **8** (toluene, rt), in the presence of La(OTf)₃·nH₂O and in the absence of MS 3A, gave **9** in only 3% yield (cf. entry 9). It is remarkable that MS 3A has an important role in achieving the catalytic cycle of the direct Mannich reaction, although the role is not clear. Indeed, several catalytic asymmetric reactions have been carried out successfully in the presence of molecular sieves, which did not seem to act just as a dehydrating agent.^{17d,e, 18}

To reveal the structure of the active species, we analyzed the mixture of ALB and La(OTf)₃·nH₂O by Laser Desorption/Ionization Time-of-Flight Mass (LDI-TOF MS) spectrometry. As shown in Figure 2, the LDI-TOF(+) MS spectrum showed a peak at *m/z* = 1195 corresponding to [ALB-La(OTf)₃-Li]⁺ and another peak at *m/z* = 1040 corresponding to [ALB-La(OTf)₂]⁺. Thus we suggest that ALB and La(OTf)₃·nH₂O associate with each other to result in the formation of a relatively tight complex and therefore create the cooperative catalysis, although the structure of the associated complex is not clear at the present time. This is in agreement with the results shown in Table 2. Furthermore, the ²⁷Al-NMR spectrum of ALB in toluene indicated the existence of at least three species (δ : 53, 36, and 14 ppm). The spectrum changed into a single peak (δ : 62 ppm) in the presence of La(OTf)₃·nH₂O and MS 3A. On the other hand, the ²⁷Al-NMR spectrum of ALB itself in THF indicated the existence of only one species (δ : 75 ppm).¹⁶ Although the ¹³C-NMR spectrum of ALB in THF indicated only ten peaks corresponding to symmetrical naphthyl moieties, ¹³C-NMR of ALB in toluene did not indicate detectable peaks. These results might be suggesting that the structural state of ALB is oligomeric in toluene and then it changes into a monomeric structure by association with La(OTf)₃·nH₂O.

Figure 2. LDI-TOF(+) MS spectrum of ALB-La(OTf)₃·nH₂O

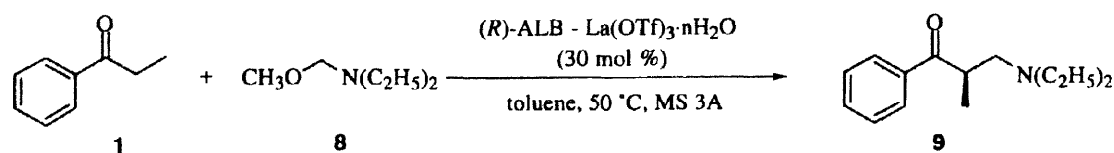


To obtain informations for further improvement of the Mannich reaction in terms of the enantioselectivity, the ee of **9** has been monitored during the reaction. It was found that the ee of **9** gradually increased to 30% ee (36 h) from 6% ee (1 h), as shown in Table 3. The reason is probably the decreasing concentration of the aminomethyl ether **8** which would cause a dissociation of La(OTf)₃·nH₂O from ALB by means of the strong coordination of **8** itself. Therefore, a slow addition of **8** seemed to be advisable to keep the concentration of **8** low during the reaction. However, this method caused a drastic decrease of the reaction rate. Thus, now the reaction of **1** with **8** was optimized in presence of 30 mol % of (*R*)-ALB and La(OTf)₃·nH₂O, and carried out in

Table 3. Progress of the ee of **9** during the Mannich reaction of **1** with **8** catalyzed by ALB and $\text{La}(\text{OTf})_3 \cdot n\text{H}_2\text{O}$

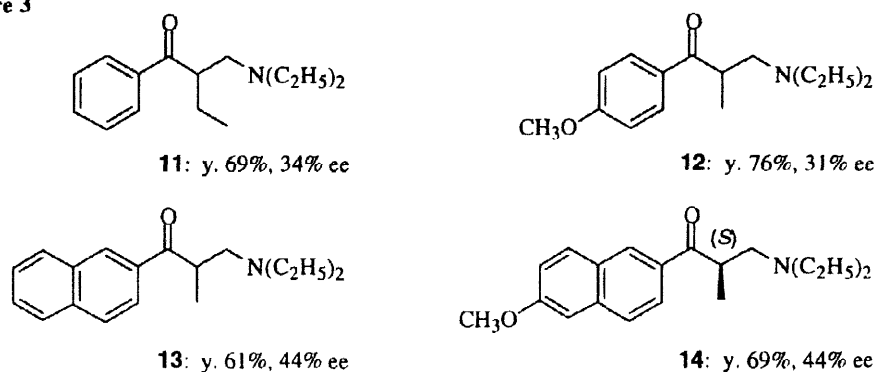
reaction time (h)	1	12	24	36
yield of 9 (%)	2	22	42	53
ee of 9 (%)	6	20	25	30

toluene at 50 °C, as ALB appeared to decompose at higher temperature (70 °C). Under these conditions, the reaction using 1 equiv of **8** to **1**, without slow addition, resulted in the formation of (*S*)-**9** with relatively high ee value (66%), albeit in only 12% yield (36 h) (entry 1, Table 3). The use of increased amount (5 equiv) of **8** improved the yield of **9** to 78% but remarkably decreased the ee to 18% (36 h) (entry 2). On the other hand, the slow addition of 5 equiv of **8** over 48 h, to a mixture of **1**, ALB, $\text{La}(\text{OTf})_3 \cdot n\text{H}_2\text{O}$, and MS 3A in toluene, afforded **9** in 65% yield with 35% ee (entry 3). After several attempts, the combination of pre-addition (1 equiv of **8**) with slow addition (2 equiv of **8**) over 18 h was found to give the best result, providing **9** in 65% yield with 40% ee (entry 4). The slow addition over a longer period (36 h) was not effective, giving **9** in 41% ee with lower yield (56%) (entry 5).

Table 4. Optimization of the direct catalytic asymmetric Mannich reaction of **1** with **8**

entry	equivalent of 8		a period of slow addition (h)	yield (%)	ee (%)
	pre-addition	slow addition			
1	1	0	—	12 ^a	66
2	5	0	—	78 ^a	18
3	0	5	48	65	35
4	1	2	18	65	40
5	1	2	36	56	41

a) Reaction time was 36 h.

Figure 3

Next, other aryl ketones were also subjected to the reaction with **8** under the above-mentioned optimum conditions (18 h for slow addition of **8**). As shown in Figure 3, several kinds of β -amino aryl ketones **11–13**¹⁹ and (*S*)-**14** were obtained with 31–44% ee in good yields (61–76%), without over-Mannich reaction of **11–14** with **8**. These compounds were prepared by simple extraction after the reaction without further purification by column chromatography.²⁰

In conclusion, we have succeeded in developing a direct catalytic asymmetric Mannich reaction of unmodified ketones by using an aminomethyl ether. This has been achieved by the cooperative catalysis of a heterobimetallic asymmetric complex, AlLibis(binaphthoxide) (ALB) and La(OTf)₃·nH₂O in the presence of molecular sieves 3A. Although the enantiomeric excesses of the Mannich bases are still moderate, we believe that the present results will pave the way for further progress. Further improvement in the enantiomeric excess of the Mannich bases, and further applications using dialkyl ketones and/or carboxylic acid esters, are under study.

Experimental

General Notes:

Infrared (IR) spectra were recorded on a JASCO FT/IR-410 fourier transform infrared spectrometer. NMR spectra were measured in a JEOL JNM-LA 500 spectrometer, operating at 500 MHz for ¹H-NMR, at 125.65 MHz for ¹³C-NMR, and 139.29 MHz for ²⁷Al-NMR. Chemical shifts are reported on the δ scale relative to C₆D₆ as an internal reference (7.15 ppm for ¹H-NMR and 128.00 ppm for ¹³C-NMR), or relative to Al(NO₃)₃ as an external standard (0.00 ppm for ²⁷Al-NMR). Mass spectra (MS) were measured on a JMS-GCMATE mass spectrometer for EI-MS, and on a Shimadzu KOMPACT MALDI IV mass spectrometer for Laser Desorption/Ionization Time-of-Flight Mass (LDI-TOF MS). Optical rotation was measured on a JASCO P-1010 polarimeter. Thin layer chromatography (TLC) analysis was performed on commercial glass plates bearing 0.25 mm layer of Merck silica gel 60 F₂₅₄ (Merck Art. No. 5715). Column chromatography was carried out with silica gel, Merck Type 60 (230–400 mesh ASTM). HPLC analysis was performed on a JASCO HPLC system consisting of the following: pump, 880-PU; detector, 875-UV, measured at 254 nm.

All reactions were carried out in dry solvents under an argon atmosphere, unless otherwise mentioned. Tetrahydrofuran (THF) was distilled from sodium benzophenone ketyl. Toluene was distilled from sodium. Molecular sieves (MS) 3A was purchased from Fluka Chemie AG and dried at 160 °C under reduced pressure for 4 h prior to use. A solution of (*R*)-ALB¹⁶ or (*R*)-LLB^{9a} in THF was prepared according to the reported procedure. The aminomethyl ether **8** was prepared from paraformaldehyde ((CH₂O)_n), (C₂H₅)₂NH and CH₃OH in the presence of K₂CO₃, according to the reported procedure.¹⁴ La(OTf)₃·nH₂O (n = about 8 to 9) was purchased from Aldrich Co., Ltd. The following abbreviations are used: d = doublet, dd = doublet-of-doublets, dq = doublet-of-quartets, m = multiplet, q = quartet, s = singlet, t = triplet.

General Procedure for the Direct Catalytic Asymmetric Mannich Reactions;

(*S*)-3-(Diethylamino)-2-methyl-1-phenyl-1-propanone [(*S*)-**9**]:

To a mixture of MS 3A (1.11 g) and La(OTf)₃·nH₂O (162 mg, 0.222 mmol) was added a 0.075 M solution of (*R*)-ALB in THF (2.96 mL, 0.222 mmol). THF was removed by evaporation under reduced pressure. To the residue were added toluene (3.5 mL), **8** (107 μ L, 0.74 mmol) and propiophenone (**1**) (98 μ L, 0.74 mmol) at

rt, and the mixture was heated at 50 °C and then **8** (214 μ L, 1.48 mmol) was further added over 18 h at 50 °C. After a removal of MS 3A by filtration, the filtrate was extracted with 5N HCl (3 x 3 mL). The combined extracts were washed with Et₂O (2 x 20 mL), alkalinized with 5% NH₄OH (40 mL) and then extracted with Et₂O (3 x 30 mL). The combined organic layers were washed with sat. aq. NaCl (20 mL), dried over Na₂SO₄, and then evaporated at rt *in vacuo* to afford (*S*)-**9**¹⁵ (105 mg, 65% yield) as slightly yellow oil without further purification;²⁰ $[\alpha]_D^{20} + 9.76$ (*c* 1.11, CHCl₃) (40% ee); ¹H-NMR: 0.90 (t, *J* = 7.0, 6H, (NCH₂CH₃)₂), 1.17 (d, *J* = 6.7, 3H, CHCH₃), 2.37 (q, *J* = 7.0, 4H, (NCH₂CH₃)₂), 2.39 (dd, *J* = 6.4, 12.8, 1H) and 2.94 (dd, *J* = 5.2, 12.8, 1H) (COCHCH₂), 3.52–3.59 (m, 1H, COCH); 7.08–7.21 (m, 3H, Ar), 7.93–8.00 (m, 2H, Ar); ¹³C-NMR: 12.3, 16.2, 39.9, 47.8, 57.9, 128.3, 128.5, 132.5, 138.1, 203.3; IR (neat) cm⁻¹: 2969, 1684, 1262, 1222, 970; LR-MS *m/z*: 219 (M⁺), 204, 105, 86, 77; HR-MS *m/z*: calcd for C₁₄H₂₁NO, 219.1623; found, 219.1627. The ee of **9** was determined by chiral stationary phase HPLC analysis: DAICEL CHIRALCEL OJ; hexane–2-propanol–Et₂NH (100 : 1 : 0.1, v/v); flow rate: 0.5 mL/min; retention times, 10.5 min for (*R*)-**9** and 14.0 min for (*S*)-**9**.

2-(Diethylamino)methyl-1-phenyl-1-butanone (11):

The compound **11** was obtained as slightly yellow oil (**8** was added over 36 h); $[\alpha]_D^{20} + 2.81$ (*c* 3.18, CHCl₃) (34% ee); ¹H-NMR: 0.85 (t, *J* = 7.5, 3H, CHCH₂CH₃), 0.87 (t, *J* = 7.3, 6H, (NCH₂CH₃)₂), 1.51–1.60 (m, 1H) and 1.82–1.90 (m, 1H) (CHCH₂CH₃), 2.32 (dq, *J* = 7.3, 13.1, 2H) and 2.37 (dq, *J* = 7.3, 13.1, 2H) (N(CH₂CH₃)₂), 2.43 (dd, *J* = 7.3, 12.8, 1H) and 2.97 (dd, *J* = 8.7, 12.8, 1H) (COCHCH₂N), 3.51–3.56 (m, 1H, CH), 7.12–7.19 (m, 3H, Ar), 8.01–8.04 (m, 2H, Ar); ¹³C-NMR: 12.1, 12.2, 24.5, 47.0, 47.8, 56.8, 128.4, 128.6, 132.4, 139.2, 203.6; IR (neat) cm⁻¹: 2967, 1680, 1264, 1217; LR-MS *m/z*: 234 (M⁺ + 1), 233 (M⁺), 204, 105, 86; HR-MS *m/z*: calcd for C₁₅H₂₃NO + H, 234.1858; found, 234.1855. The ee of **11** was determined by chiral stationary phase HPLC analysis: DAICEL CHIRALCEL OJ; hexane–2-propanol–Et₂NH (100 : 1 : 0.1, v/v); flow rate: 0.5 mL/min; retention times, 9.0 min for a minor enantiomer and 10.0 min for a major enantiomer.

3-(Diethylamino)-1-(4-methoxyphenyl)-2-methyl-1-propanone (12):

The compound **12** was obtained as slightly yellow oil (**8** was added over 18 h); $[\alpha]_D^{20} + 5.54$ (*c* 4.19, CHCl₃) (31% ee); ¹H-NMR: 0.88 (t, *J* = 7.3, 6H, (NCH₂CH₃)₂), 1.16 (d, *J* = 6.7, 3H, CHCH₃), 2.36 (q, *J* = 7.3, 4H, (NCH₂CH₃)₂), 2.37 (dd, *J* = 6.7, 12.8, 1H) and 2.91 (dd, *J* = 7.6, 12.8, 1H) (COCHCH₂N), 3.29 (s, 3H, OCH₃), 3.49–3.56 (m, 1H, COCH); 6.67–6.70 (m, 2H, Ar), 7.94–7.97 (m, 2H, Ar); ¹³C-NMR: 12.3, 16.5, 39.6, 47.8, 54.9, 58.0, 113.9, 130.8, 131.0, 163.4, 201.7; IR (neat) cm⁻¹: 2968, 1672, 1461, 1258, 1227, 971; LR-MS *m/z*: 249 (M⁺), 135, 107, 86; HR-MS *m/z*: calcd for C₁₅H₂₃NO₂, 249.1729; found, 249.1726. The ee of **12** was determined by chiral stationary phase HPLC analysis: DAICEL CHIRALCEL OJ; hexane–2-propanol–Et₂NH (100 : 1 : 0.1, v/v); flow rate: 0.5 mL/min; retention times, 17.5 min for a minor enantiomer and 28.0 min for a major enantiomer.

3-(Diethylamino)-2-methyl-1-(2-naphthyl)-1-propanone (13):

The compound **13** was obtained as colorless oil (**8** was added over 18 h); $[\alpha]_D^{22} - 0.55$ (*c* 5.90, CHCl₃) (44% ee); ¹H-NMR: 0.86 (t, *J* = 7.0, 6H, (NCH₂CH₃)₂), 2.53 (d, *J* = 7.0, 3H, CHCH₃), 2.33 (dq, *J* = 7.0,

13.1, 2H) and 2.37 (dq, $J = 7.0$, 13.1, 2H) ((NCH₂CH₃)₂), 2.39 (dd, $J = 6.1$, 13.1, 1H) and 2.96 (dd, $J = 7.7$, 13.1, 1H) (COCHCH₂N), 3.66–3.73 (m, 1H, COCH), 7.21–7.25 (m, 2H, Ar), 7.51–7.65 (m, 3H, Ar), 8, 13–8, 18 (m, 1H, Ar), 8.46 (s, 1H, Ar); ¹³C-NMR: 12.2, 16.4, 40.0, 47.8, 58.1, 124.8, 126.7, 128.0, 128.2, 128.6, 129.7, 129.9, 133.1, 135.4, 135.8, 203.3; IR (neat) cm⁻¹: 2968, 1675, 1275, 1235, 980; LR-MS m/z : 269 (M⁺), 155, 127, 86; HR-MS m/z : calcd for C₁₈H₂₃NO, 269.1780; found, 269.1786. The ee of **13** was determined by chiral stationary phase HPLC analysis: DAICEL CHIRALCEL OJ; hexane–2-propanol–diethylamine (1000 : 1 : 1, v/v); flow rate: 1.0 mL/min; retention times, 13.5 min a major enantiomer and 15.5 min a minor enantiomer.

(S)-3-(Diethylamino)-1-(6-methoxy-2-naphthyl)-2-methyl-1-propanone [(S)-14]:

The compound (S)-**14** was obtained as slightly yellow oil (**8** was added over 18 h); [α]_D¹⁸ –2.14 (c 2.37, CHCl₃) (44% ee); ¹H-NMR: 0.89 (t, $J = 7.0$, 6H, (NCH₂CH₃)₂), 1.25 (d, $J = 6.7$, 3H, CHCH₃), 2.36 (dq, $J = 7.0$, 12.8, 2H) and 2.39 (dq, $J = 7.0$, 12.8, 2H) ((NCH₂CH₃)₂), 2.43 (dd, $J = 6.4$, 12.8, 1H) and 3.00 (dd, $J = 7.6$, 12.8, 1H) (COCHCH₂N), 3.35 (s, 3H, OCH₃), 3.69–3.76 (m, 1H, COCH), 6.83 (d, $J = 2.5$, 1H, Ar(5)-H), 7.10 (dd, $J = 2.5$, 8.8, 1H, Ar(7)-H), 7.52 (d, $J = 8.8$, 1H, Ar(8)-H), 7.54 (d, $J = 8.5$, 1H, Ar(4)-H), 8.25 (dd, $J = 1.8$, 8.5, Ar(3)-H), 8.43 (d, $J = 1.8$, Ar(1)-H), ¹³C-NMR: 12.2, 16.5, 39.9, 47.8, 54.9, 58.1, 106.0, 119.8, 125.6, 127.4, 128.4, 130.0, 131.4, 133.5, 137.5, 159.9, 202.9; IR (neat) cm⁻¹: 2967, 1671, 1481, 1267, 1235, 984; LR-MS m/z : 299 (M⁺), 185, 157, 86; HR-MS m/z : calcd for C₁₉H₂₅NO₂, 299.1885; found, 299.1882. The absolute configuration of (S)-**14** was determined by Mosher's method²¹ after the reduction of **14** by LiAlH₄. The ee of **14** was determined by chiral stationary phase HPLC analysis: DAICEL CHIRALCEL OJ; hexane–2-propanol–Et₂NH (1000 : 1 : 1, v/v); flow rate: 1.0 mL/min; retention times, 50 min for (S)-**14** and 56 min for (R)-**14**.

The three component asymmetric Mannich reaction using the (R)-LLB complex

2-Methyl-1-phenyl-3-(N-pyrrolidiny)-1-propanone (4):

The mixture of 0.075 M solution of (R)-LLB in THF (0.99 mL, 0.074 mmol) and MS 3A (1.11 g) was evaporated to remove THF under reduced pressure. To the residue were successively added toluene (3.5 mL), propiophenone (**1**) (98 μ L, 0.74 mmol), pyrrolidine (**2**) (74 μ L, 0.88 mmol) and paraformaldehyde (**3**) (33mg, 0.88mmol) at rt, and the mixture was stirred at rt for 36 h. After removal of MS 3A by filtration, the filtrate was extracted with 5N HCl (3 x 3 mL). The combined extracts were washed with Et₂O (2 x 20 mL), alkalinized with 5% NH₄OH (40 mL) and then extracted with Et₂O (3 x 30 mL). The combined organic layers were washed with sat. aq. NaCl (20 mL), dried over Na₂SO₄, and then evaporated at rt *in vacuo* to afford **4** (25.7 mg, 16% yield) as slightly yellow oil without further purification²⁰; [α]_D²⁰ +9.41 (c 0.80, CHCl₃) (64% ee); ¹H-NMR: 1.18 (d, $J = 6.7$, 3H, CH₃), 1.46–1.49 (m, 4H, N(CH₂CH₂)₂), 2.31–2.35 (m, 4H, N(CH₂CH₂)₂), 2.50 (dd, $J = 6.8$, 11.9, 1H) and 2.93 (dd, $J = 7.0$, 11.9, 1H) (COCHCH₂), 3.49–3.56 (m, 1H, COCH); 7.06–7.18 (m, 3H, Ar), 7.94–7.96 (m, 2H, Ar); ¹³C-NMR: 16.4, 23.9, 40.6, 54.6, 59.9, 128.5, 128.7, 132.6, 137.6, 202.6; IR (neat) cm⁻¹: 2966, 1682, 1219, 975, 707; LR-MS m/z : 217 (M⁺), 202, 105, 84, 77; HR-MS m/z : calcd for C₁₄H₁₉NO, 217.1467; found, 217.1465. The ee of **4** was determined by chiral stationary phase HPLC analysis: DAICEL CHIRALCEL OJ; hexane–2-propanol–Et₂NH (100 : 1 : 0.1, v/v); flow rate: 0.5 mL/min; retention times, 15.0 min for a minor enantiomer and 20.5 min for a major enantiomer.

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